

1 **The need for an “integrated safety assessment” of GMOs, linking food safety and**
2 **environmental considerations**

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1 **Summary:**

2
3 Evidence for substantial environmental influences on health and food safety comes from work
4 with environmental health indicators which show that agro-environmental practices have
5 direct and indirect effects on human health, concluding that “the quality of the environment
6 influences the quality and safety of foods”. In the field of GMOs, Codex principles have been
7 established for the assessment of GM food safety and the Cartagena Protocol on Biosafety
8 outlines international principles for an environmental assessment of living modified
9 organisms. Both concepts also contain starting points for an assessment of health/food safety
10 effects of GMOs in cases where the environment is involved in the chain of events which
11 could lead to hazards.

12 The environment can act as a route of unintentional entry of GMOs into the food supply, such
13 as in the case of gene flow via pollen or seeds from GM crops. But the environment can also
14 be involved in changes of GMO-induced agricultural practices with relevance for health/food
15 safety. Examples for this include potential regional changes of pesticide uses and pesticide
16 poisoning with Bt- tolerant crops, or influences on immune responses via cross reactivity.
17 Clearly, modern methods of biotechnology in breeding are involved in the reasons behind the
18 rapid reduction of local varieties in agro-diversity, which constitute an identified hazard for
19 food safety and food security. The health/food safety assessment of GM foods in cases where
20 the environment is involved needs to be informed by data coming from environmental
21 assessment. Such data might be especially important for hazard identification and exposure
22 assessment. International organisations working in these areas will very likely be needed, in
23 order to initiate and enable cooperation between those institutions responsible for the different
24 assessments, as well as for exchange and analysis of information. An integrated assessment
25 might help to focus and save capacities in highly technical areas such as molecular
26 characterisation or profiling, which are often necessary for both assessments. In the area of
27 establishing international standards for traded foods, such as for the newly created Standards
28 in Trade and Development Facility (STDF), an integrated assessment might help in the
29 consideration of important environmental aspects involved in health and food safety.
30 Furthermore, an established integrated view on GMOs may create greater consumer
31 confidence in the technology.
32

1 **Introduction:**

2
3 Experience based on conventional methods of food production shows that agriculture often
4 affects health, food safety and the environment where these aspects interact (Millennium
5 Ecosystem Assessment, 2005; Fehr R, 1999). Work on environmental health indicators
6 suggests (WHO, 2002) that various agricultural practices have direct and indirect effects on
7 human health and development. Hazards can take many forms, wholly natural in origin or
8 derived from human activities and interventions. Potential environmental health hazards of
9 releases of GMOs in the environment have also been discussed in a report by WHO/ANPA,
10 where health effects have been analysed “as an integrating index of ecological and social
11 sustainability” (WHO, 2000).

12
13 As regards the interaction of the environment with conventional food production, the
14 summing up suggested that “the quality of the environment influences the quality and safety
15 of foods and an unhealthful environment can render food unwholesome” (Fennema, 1990). A
16 review on risk management frameworks for human health and environmental risks (Jardine et
17 al, 2003) has also focused on guidelines for assessing human health risks from environmental
18 hazards and stresses” the role and relationship between risk assessment and risk
19 management” as well as the principle of “consulting with the community to identify their
20 concerns “.

21
22 The aim of this paper is to discuss how products of modern methods of biotechnology,
23 especially GMOs, can indirectly affect human health and food safety through their intended or
24 unintended presence in the environment, from agro-ecosystems to natural ecosystems. Based
25 on this discussion the paper argues for a better integration of environmental and food safety
26 assessments that inform policy and regulatory decisions. The presence in the environment can
27 involve an introduction of the GMO or parts of the GMO via the environment, for example by
28 gene flow or by contamination or by effects of the GMO on or in the environment, eventually
29 including changes in agricultural practices. Evidence from conventional methods of food
30 production suggest that this interaction between the environment and health and food safety is
31 quite common. Therefore, food safety assessment needs to be informed by findings from an
32 environmental risk assessment. The general need for an integrated assessment of effects of
33 GMO has already been stressed before (WHO, 2005).

34
35 **Molecular basis for possible risk of GMO:**

36
37 When GMO are developed, some of the existing characteristics of the organisms can be
38 altered unintentionally, affecting the expression of constitutive components. The transgene
39 DNA could integrate into or adjacent to plant genes and perturb their expression either by
40 decreasing or increasing their expression. The transgene could be expressed in an
41 unanticipated manner through actions from promoters in adjacent plant genes or via
42 interactions of plant gene open reading frames (ORFs) with promotor elements in the plant
43 transgene. Transgene rearrangements during integration can create spurious ORFs and
44 spurious ORFs could allow the transgene to produce unintended gene products.
45 Recombination due to repeated sequences in the transgene could result in intralocus instability
46 or may lead to ectopic recombination. (Andow et al., 2004). Furthermore, effects of gene-
47 silencing can interfere with the desired gene expression (Kumpatla et al, 1997; Meza et al,
48 2002).

49
50 A FAO/WHO expert consultation (2003) acknowledged that introduction of a transgene into
51 a recipient organism is not yet a precisely controlled process, and can result in a variety of

1 outcomes regarding integration, expression and stability of the transgene in the host. Many of
2 the unintended effects discussed as potential consequences of the introduction of transgenes
3 into organisms have also been seen in foods already derived from organisms developed by
4 conventional breeding methods or methods like the introduction of unspecific mutagenesis by
5 irradiation or chemicals or tissue cultures (Kuiper et al, 2001; Konig et al, 2004).
6

7 **Development of risk assessment concepts for GMOs:**

8

9 The development of risk assessment concepts has reflected progress in the understanding of
10 possible unintended effects of biotechnological methods in breeding. Early regulations for
11 GMO did not differentiate between environmental and product specific risks assessments,
12 whereas most modern concepts differentiate between a general environmental assessment
13 often including monitoring concepts and assessments for specific products, such as
14 pharmaceuticals, foods and feeds, seeds or chemicals (European Commission, 2004; Health
15 Canada; Chassy,2002; Haslberger, 2000). Specific risk assessment procedures were
16 developed for these products. This specification led to diversification for assessment;
17 however, experiences drawn from a growing body of risk assessment processes often indicate
18 similar underlying problems. In particular, the need for a molecular characterisation and
19 assessment of potential unintended molecular effects was identified as the basis for
20 assessment in all fields (Andow et al., 2004; FAO/WHO, 2003).
21

22 The concept that a comparison of a final product with one having an acceptable standard of
23 safety provides an important element of safety assessments of GMOs used to be a commonly
24 used basis for the development of both food safety and environmental risk assessment (WHO,
25 1991). This concept was elaborated by FAO, WHO and OECD in the early 1990s and referred
26 to as substantial equivalence for the assessment of GM foods. But in 2000 a FAO/WHO
27 consultation acknowledged that this concept had attracted criticism because of the perception
28 that it was the end-point of a safety assessment rather than the starting point (FAO/WHO
29 2000; Canadian Royal Society, 2001; Millstone et al.,1999; Schenkelaars, 2002). The
30 consultation concluded that a consideration of compositional changes is not the sole basis for
31 determination of safety and that safety can only be determined when the results of all aspects
32 under comparison, and not merely comparisons of key constituents, are integrated. More
33 recently the concept has evolved to a Comparative Safety Assessment for GMO foods (Kok et
34 al., 2003) where a comparison of the GMO with its conventional counterpart is a starting
35 point (Kearns and Mayers, 1999). By 2003, both international systems covering GMO, GM
36 food safety (Codex) and environmental safety(CPB) became effective, both systems being
37 based on the concept of a case by case approach. More recently the need for a comprehensive
38 molecular characterisation of each transformation event, including the analysis of integrated
39 constructs and the flanking region, as well as the need to address potential unintended effects,
40 was appreciated for food safety and environmental assessments (FAO/WHO, 2003) and
41 this idea was also enforced by general recommendations of a US-FIFRA expert panel
42 (FIFRA-SAP, 2004).
43

44 **Codex principles for the risk assessment of GM foods:**

45

46 The Codex Alimentarius Commission adopted the Principles for the Risk Analysis of Foods
47 Derived from Modern Biotechnology, and the Draft Guidelines for the Conduct of Food
48 Safety Assessment of Foods Derived from Recombinant-DNA Plants and Microorganisms
49 (Codex work on GM foods). The principles for the safety assessment dictate a case by case
50 pre-market assessment on the basis of a comparative safety assessment (CSA). The CSA is
51 basically a two-tiered approach. The initial step is comprised of a thorough comparison with

1 the closely related conventional food organism counterpart in order to identify any differences
2 that may have safety implications for the consumer. This comparison includes both
3 phenotypic characteristics as well as compositional analysis. The second step comprises the
4 toxicological and nutritional evaluation of the identified differences between the food derived
5 from the GMO and its comparator. Hazard identification and characterization are typically
6 the first steps in any risk assessment and an extensive molecular characterisation of the
7 inserted genetic material construct is required. The safety of the gene product must be
8 assessed on a case-by-case basis. Following the phase of hazard identification,
9 characterization and food intake assessment, an integrated toxicological evaluation will
10 combine all the information with relation to the food safety of the GMO-derived food. For the
11 identification of potentially occurring unintended effects, profiling methods have been
12 proposed and different possibilities for profiling methods have been characterized (Kuiper et
13 al., 2003). In addition to investigating health risks directly associated with food production,
14 the broadening of the Codex risk assessment to include indirect effects now encompasses
15 effects of novel food on the environment that may have an indirect impact on human health
16 (Haslberger, 2003)

17

18 **The Environmental safety assessment of GMOs**

19

20 A case by case assessment considering any organisms derived from a transformation event, as
21 well as different receiving environments, is broadly recognised as the best framework for
22 assessing environmental risks of GMOs. Internationally the concept of familiarity was
23 developed also in the concept of environmental safety of transgenic plants. The concept
24 facilitates risk/safety assessments, because to be familiar means having enough information to
25 be able to make a judgement of safety or risk (NAS, 2002; Conner et al., 2003). Familiarity
26 can also be used to indicate appropriate management practices, including evaluating whether
27 standard agricultural practices are adequate or other management practices are needed to
28 manage the risk (OECD, 1993). As familiarity depends also on knowledge of the environment
29 and its interaction with introduced organisms, the risk/safety assessment in one region may
30 not be applicable in another. Currently the Cartagena Protocol on Biosafety (CPB, 2005) to
31 the Convention on Biological Diversity is the only international regulatory instrument which
32 deals specifically with the potentially adverse effects of GMOs on “the conservation and the
33 sustainable use of biological diversity”, an important amenity of the environment, taking also
34 into account effects on human health. The CPB covers transboundary movements of any
35 genetically modified foods that meet its official definition of living modified organisms
36 (LMOs). Article 11 of the CPB asks for a risk assessment where annex III of the Protocol
37 specifies general principles and steps for environmental risk assessment of LMOs and focuses
38 especially on identification of any novel genotypic and phenotypic characteristics that may
39 have adverse effects on biological diversity in the potential receiving environment. Annex III
40 recommends that the risk assessment should also take into account risks to human health and
41 evaluate the likelihood of these adverse effects. Information on the receiving environment
42 includes data on location, geographical, climatic and ecological characteristics, including
43 relevant information on biological diversity and centres of origin of the likely potential
44 receiving environment. As the focus of the CPB is biodiversity, in line with the scope of the
45 Convention itself, its consideration of human health safety is limited, since it concentrates on
46 situations in which an LMO itself may end up in the food supply, such as might happen via
47 trade of crop seeds (Miraglia et al, 2004). Pharmaceuticals are explicitly excluded.

48

49 Recent work on the implementation of the CPB recommends analysis of effects of GMOs on
50 species in the environment before an assessment of effects on the biodiversity for the
51 assessment of non target environmental risks. This is mainly because of better access to

1 species assessment and methodological limitations of an analysis of effects to diversity
2 (Andow et al., 2004).

3 4 **Starting points for assessing effects of GMOs on food safety mediated through the** 5 **environment**

6
7 Both the Codex principles for food safety and the risk assessment provisions of the CPB
8 provide opportunities to consider more explicitly interactions between food safety and
9 environmental safety. The broadening of the Codex Risk assessment to include indirect
10 effects provides for an assessment of effects on the environment that may have an indirect
11 impact on human health (Haslberger, 2003).

12
13 In the explanatory guide to the CPB (CPB, explanatory guide) indirect effects on the
14 environment are described as effects on human health or the environment occurring through a
15 causal chain of events, through mechanisms such as interactions with other organisms,
16 transfer of genetic material, or changes in use or management. Observations of indirect effects
17 are likely to be delayed. Given examples include impacts which can arise from changed
18 agricultural practices associated with the management of a genetically modified (GM) crop
19 rather than from the genetically modified crop itself. The explanatory guide suggests that
20 questions related to human health effects were intended to be covered by the Protocol only if
21 the human health aspect is linked to biological diversity. Such a link exists if the health effect
22 is consequent to exposure in situ; for instance, if a farmer were to develop an allergenic
23 reaction to pollen from genetically modified plants. It also exists if the health effect resulted
24 from effects on biological diversity (secondary effect). Direct effects on human health (e.g.
25 caused by consumption of GM food) would, however, not be covered by the Protocol.

26 27 **Examples for potential effects of GM food production on health/food safety through the** 28 **introduction of GMO via the environment**

29
30 GMOs can affect human health and food safety via an introduction of GMO to the
31 environment, such as by gene flow, by contamination or dispersal of transgenic pollen or
32 seeds as well as via potential effects or changes in the agro-environment. Although scientific
33 evaluation has achieved considerable progress in the assessment of mechanisms underlying
34 the flow of recombinant sequences to unintended areas, considerable differences in the
35 conclusions on consequences for health, food- and environmental safety still became obvious
36 e.g. in the arguments under the WTO debate on suspected trade barriers for GMOs
37 (EC.,2005. WTO dispute settlement).

38
39 **Gene flow and human dispersal affecting the food chain:** When GMOs enter the human
40 food supply this introduction could involve unintended events such as gene flow or dispersal
41 of GMP pollen or seeds as well as escapes of organisms. In these cases, environmental risk
42 assessment results would likely be valuable for food safety assessment. This would not be
43 necessary e.g. when only ways of the product processing or distribution are responsible for
44 unintended contamination of commodities. Modern research shows that genes conventionally
45 flow between related species: wild type, domesticated and lines bred for agricultural uses. The
46 likelihood of GMO entering and persisting in the environment will vary depending on
47 characteristics of the GMO, the system in which they are farmed or produced and the
48 receiving environments (Ellstrand, 2001; Snow, 2002; Eastham et al., 2002,). The
49 appearance of Star Link corn, not approved for food use, in numerous maize food products in
50 the US (Taylor et al., 2002) has demonstrated that gene flow can become a major pathway for
51 unintended movement of GMO ingredients into the food chain. Although this case did not

1 result in any observed human health problems it reinforces the need to assess any potential
2 environmental spread that can pose unintended impacts on human health and safety.

3
4 Furthermore, the development of GM plants for the expression of pharmaceuticals has
5 become a topic of intense discussion of food safety relevant consequences, including
6 environmental aspects (Peterson et al., 2004; PewAgbiotech Vol 12). The production of
7 about 800 different available pharmaceutical active proteins resulted in limited production
8 capacities and the need for efficient means of therapeutic protein production (Wilke, 1999,
9 Fischer et al, 2004,). Permanent modification of the plant genome or of the chloroplast DNA
10 would offer the advantage of stable, ongoing protein production with repeated planting alone.
11 However, the pharmaceutical production in plants can create a potential for the flow of
12 pharmaceutical materials into the human food chain, especially when food crops are used.
13 This could occur as a result of inadvertent cross-contamination of foodstuffs, GM plants and
14 through spontaneous growth of genetically engineered plants where they are not desired, or by
15 virtue of pollen flow with some plants (e.g. corn). Despite prior toxicological assessment of
16 the protein products, health effects could include local effects on the gastro-intestinal tract or
17 the possibility of immunological effects, as seen in the context of oral vaccines. Consultations
18 including international organisations evaluated hazards and possible measures for protection
19 (Mascia et al., 2004). But improved knowledge about mechanisms and regional specific
20 consequences of gene flow of the different plants under consideration for pharmaceutical
21 production will be needed for final conclusions. Some experts do not yet see the possibility
22 of containment measures providing a sufficient level of safety and discourage the use of food
23 related plants for production of pharmaceuticals, while others support further development of
24 methods for containment, control and identity preservation of pharma crops (Pew Agbiotech,
25 Vol 12). While confinement of GMOs needs to be seen specifically for each organism a
26 recent study of the NRC recommends the use of multiple containment systems, better testing
27 and the use of non food organism for the production of pharmaceuticals and chemicals (Snow
28 et al, 2002; Kirk et al., NRC ,2004). Reliable confinement systems might be even more
29 important as experiences shows that markers used for monitoring of the parental plants can
30 disappear with continuous breeding (Remacle and van der Ede, 2005, p. comm.).

31
32 The presence of transgenes and other alleles in Mexican traditional varieties, deriving
33 obviously mainly from various US transgenic maize, by way of unintended uses or exchange
34 of seeds including transgenic cultivars (CEC, 2004) illustrates the general threats of gene
35 flow. For example, it is not known if transgenes from cultivars which are banned in the US,
36 but can still be found in low levels in grain systems, are present in Mexican varieties
37 (Biotech-info.net, BT corn gene flow). The final report (CEC, 2004) of a consortium of
38 scientists on the Mexican experiences concludes that the transgenes in the lines have the
39 potential of persisting indefinitely but transgenes may increase if these traits are given a
40 reproductive advantage. The report underlines that agricultural practices have important
41 effects on the genetic diversity of the Mexican local lines, e.g. if economic pressure associated
42 with modern agriculture and trade characteristics cause small farmers to abandon use of native
43 varieties.

44 The scientific assessment of a horizontal transfer from recombinant genes of GMOs to
45 bacteria in the environment or in the human gut at present points to the conclusion that a
46 transfer of especially antibiotic resistance genes from genetically modified plants to bacteria
47 via mechanisms of transformation and homologous or illegitimate recombination is unlikely,
48 but not impossible (FAO/WHO, 2003). Based on most recent findings in different bacterial
49 species a “homology facilitated illegitimate recombination” can increase the frequency of a
50 basically illegitimate incorporation of genes when heterologous DNA of up to 2.9 kb is
51 flanked by a short sequence homologous to the integration site. (de Vries et al., 2002;

1 Prudhomme et al., 2002). Mechanisms underlying bacterial competence have been found to
2 be critical for the uptake of DNA by different bacteria where these mechanisms are also
3 dependent on ecosystem characteristics (Averhoff et al., 2003). The transfer of genes from
4 modified bacteria, e.g. in foods or developed for agricultural purposes to bacteria in the
5 environment or the gut needs to consider especially mechanisms of conjugation. Evidence is
6 gathering that intestinal bacteria not only exchange resistance genes among themselves but
7 might also interact with bacteria that are passing through the colon, causing these bacteria to
8 acquire and transmit antibiotic resistance genes (Salyers et al, 2003).

9
10 In both scenarios, in the transfer from genetically modified plants as well as from genetically
11 modified bacteria the risk assessment for food safety needs important information from the
12 environmental assessment such as details of the receiving environment. For both, food safety
13 and the environmental risk assessment similar basic molecular assessments of the constructs
14 are necessary.

15 16 **Potential effects of GMO food production on human health and the environment via** 17 **effects on the agro-environment**

18
19 **Effects on uses of pesticides:** Whereas in the assessment of effects from an unintended
20 introduction of GMO via the environment the chain of events is often evident, any assessment
21 of effects from changes in the agro-environment often leads to calculated complex scenarios.
22 This can be seen in the discussion of effects of resistances in GM crops. A main aim for the
23 production of GM plants is the development of improved resistance against pests. Since the
24 development of BT-crops the discussions about possible benefits of BT crops for the
25 environment and for human health or a desirable preference of alternative, more ecological
26 informed methods such as Integrated Pest Management have reached no final conclusion and
27 may need a more local specific assessment (GMO guideline project, 2005). One example
28 where a presumably beneficial outcome was reported as a result of agro-environmental
29 changes through the use of a GMO will be used to illustrate the need for an integrated
30 health/food- and environmental risk assessment. For some areas of China where high level of
31 pest- pressure, pesticide uses and pesticide poisoning of farmers and children were a general
32 background (Lu et al., 2002) the use of BT cotton was reported to decrease overall pesticide
33 uses and to implement the use of pesticides which may have fewer toxic characteristics for the
34 environment and human health compared to products used before . This resulted in decreases
35 of pesticide poisoning of farmers and children living in these areas (Hossain et al, 2004) and
36 would be of relevance for a health/food safety relevant assessment. Evidence from other
37 studies shows locally very different effects (Frisvold et al.,2003) and may point to the need of
38 a generally more regional assessment. Also in the very comprehensive investigation of the
39 UK farm scale trial which tested effects of herbicide resistant crops on biodiversity (Andow,
40 2003) different effects on diversity were found to be dependent on GM crop specificity and
41 site of analysis. These results suggested that the differences are not due to changes in the crop
42 induced by the genetic modification but obviously much more because the GM crops give
43 farmers new options for weed control where they use different herbicides and apply them
44 differently. The regiment of different herbicides affects human health /food safety by different
45 patterns of toxicity and residues as well as the environment by often drastic changes of
46 availability of weeds and seeds for wildlife (Biotech-Info.Net, weed shift worries). This
47 evidence indicates the need to assess consequences from changes in agricultural practices in
48 an integrated health/foods safety and environmental assessment.

49
50 **Agricultural practice and immune responses.** The assessment of GM food safety includes
51 the assessment of potentially toxic properties, especially effects on immune responses such as

1 allergenicity. Potential toxic effects could affect agricultural workers or the public. The
2 principles for an assessment of a potential allergenicity include the comparisons of epitopes
3 of newly expressed proteins in GM foods from sources without a history of food safety with
4 known food allergens. (FAO/WHO, 2001). The predictability of currently used bioinformatic
5 methods are the subject of controversial discussions (Bindslev-Jensen et al, 2003; Jank et al.,
6 2003; Stadler et al., 2003). Experiences from conventional methods of food production,
7 furthermore, suggest that changes of immune responses within certain groups of consumers
8 could also be mediated by changes in the food production methods: Pollen-allergic patients
9 frequently present allergic symptoms after ingestion of several kinds of plant-derived foods
10 presumably by crossreactive structures. Allergenic structures that sensitize pollen-allergic
11 patients are also present in grass and weed pollen (Vieths et al.,2002). Effects of grasses and
12 weeds on allergenicity, including sensitization, and possibly also induction of tolerance, are
13 well known. For example rice plants contribute a huge pollen load in agricultural fields during
14 flowering which results in a seasonal trigger of hay fever and respiratory allergy in field
15 workers and people living in the vicinity (Chen et al, 2004; Yabuhara et al, 2004). On the
16 other hand farmers who have grown up on farms present a lower prevalence of atopy
17 (Portengen et al, 2002) . Agricultural changes such as weed shifts induced by conventional
18 agronomic methods, known to be a still insufficiently explored consequence of herbicide
19 resistant crops (Biotech-Info.net, weed shift worries) could result in changes of the amount of
20 potential allergens. This could affect both improvements and hazards for humans. As such
21 investigations need profound epidemiological analysis there are few experiences available
22 from conventional agriculture and certainly no analysis for effects of GM crops. However,
23 also here experiences indicate that a better understanding of agro-environmental changes is an
24 important element for the health/foods safety assessment of GM foods.

25
26 **Consequences from a reduced agro-diversity:** Constituents of many pathogens for crops,
27 such as mycotoxins are important hazards for food safety. A good understanding of regional
28 and seasonal environmental conditions is known to be important in order to assess and
29 prevent hazards of mycotoxins derived from infections e.g.with Fusarium. The knowledge of
30 environmental influences on resistances induced in GMOs under development against such
31 infections with pathogens will be of importance for an integrated health/foods safety
32 assessment. A more indirect effect of biotechnology affects breeding. Breeding aims to
33 develop resistances to pathogens to ensure yields and increased food safety, but methods used
34 for breeding interfere with the diversity of organisms, which have relevance for further
35 breeding. The dominant business strategy of agricultural biotechnology companies has been
36 to focus research and development expenditures on genetic improvement of a limited number
37 of elite lines and then sell the line to as much of the global market as possible in order to
38 return considerable investments. This endangers the propagation of traditional local races and
39 knowledge. The majority of locally adapted land races e.g. will not be propagated further.
40 There is growing scientific and public concern about consequences from the rapid decline
41 observed for agro diversity e.g. in the number of landraces since the implementation of
42 modern biotechnological breeding strategies (Zhu et al., 2002, Falcon et al., 2003; Petschow,
43 2004). A decreased availability of local breeding resources enabling e.g. the development of
44 lines with new resistances against pathogens might therefore need to be seen as indirect
45 hazards for food safety.

46 47 **Implementation of an integrated health/food and environmental assessment and** 48 **establishment of safety**

49
50 The discussed examples for effects of GM food production show that integrating an
51 ecological risk assessment and a health/food safety assessment should strengthen the

1 assessment of safety and potential benefits of GM foods. Ways for the best possible
2 organisation of an integrated assessment need to be detailed. In most cases information from a
3 preceding environmental risk assessment should be considered in the appropriate parts of the
4 GM food safety assessment, such as in the hazard characterisation or in the calculation of the
5 exposure. But not only should the assessment integrate health/food safety and the
6 environment. The planning of post market safety management also needs to consider these
7 interactions. The integrated health/ food and environmental GM assessment should therefore
8 preferably be implemented within a system, which establishes safety, combining risk
9 assessment, management and risk communication. An attractive possibility would be the use
10 of a system, which tries to establish safety from the beginning of a development. Based on
11 analysis of the long history of efforts to improve safety within different established industries,
12 the Safety First Initiative has adopted experiences in safety assurance from various industries
13 for GM food production where these principles, applied early in the design process, can
14 benefit multiple stakeholders concerned with environmental safety, food safety and the
15 security of their investments: The approach aims to establish safety from the beginning of a
16 development by establishing the minimum tolerable safety level by comparing the severity of
17 possible harm (impact) against the likelihood of the previously agreed-upon maximum
18 acceptable harm. One reaches agreement on the “maximum acceptable harm” through a multi
19 stakeholder deliberative process, informed by case –specific scientific analysis (Kapuscinski
20 et al., 2003). Applications of GMOs which may be beyond the border of acceptable minimal
21 safety are discouraged.

22
23 The use of an integrated health/food safety and environmental assessment where appropriate,
24 as well as a proactive approach to establish safety, would certainly have several advantages. It
25 may help to structure and improve communication between experts engaged in the different
26 risk assessments. It may bring together data and experiences from both sides which may
27 stimulate improved cooperation in developments of safer GMOs and of more effective safety
28 assessment and management methods, which would benefit both sides. Especially important
29 fields for such cooperation are certainly advances in the assessment of unintended molecular
30 changes using modern molecular profiling methods or the analysis of different environmental
31 factors using advances in genomics and proteomics. A conclusive integrated assessment may
32 help in capacity building for molecular characterisation and profiling methods especially in
33 developing countries. Last but not least, an integrated assessment in combination with a
34 modern proactive safety approach might also help to provide a better integration of the needs
35 and participation of stakeholders from the areas of foods and environmental safety in the
36 discussion of common objectives for developments and establish increased confidence in the
37 conclusions of the assessment. These advantages of an integrated health/food safety and
38 environmental risk assessment would presumably outweigh some increased organisational
39 needs for the integration.

40 41 **Discussion**

42
43 In the present paper we argue for the need and the benefits of integrating food safety and
44 environmental safety assessments of GMOs where the possible chain of events suggests that
45 environmental factors may affect human health and food safety. We acknowledge that also
46 products of other methods of biotechnologies can show effects which are usually discussed
47 with regard to GM organisms or GM foods, such as unintended effects and could benefit from
48 the proposed improvements. The scope of the definitions for modern methods of
49 biotechnology or GMOs is still diverging in many national regulations, such as in the field of
50 vaccines where integration or expression of constructs determines possible inclusion under
51 GMO relevant regulations (Norwegian Biotech Advisory Board). In this field in particular a

1 good integrative evaluation of environmental and health effects would be desirable
2 scientifically (Pharmacos).

3
4 Any understanding of the chain of events between the environment and food safety might
5 become more important in the future as our understanding of local, regional and ecological
6 processes improve. Accelerating work in organismal and environmental genomics and
7 proteomics might change our understanding of these interactions. Analyses of constituents of
8 GM crops have already shown a more profound influence of regional factors, such as heat,
9 compared to effects from the genetic modification (Novak et al., 2000). An analysis of the
10 need for a regional approach to assess consequences of modern methods of food production
11 on food safety and the environment is described in a forthcoming publication.

12 The use of integrated assessments would also improve mutual understanding and knowledge
13 sharing among experts working in different areas. Whereas important elements of the food
14 safety and the environmental safety assessments address similar elements and problems the
15 largely separate work in the different fields has hindered a common understanding of
16 important issues. This can be seen in a central point of both types of risk assessments, the
17 case-by-case-principle. In the food safety assessment this addresses often addresses the
18 understanding that each product deriving from a single transformation event, or a stacking of
19 events, needs to be analyzed independently. In the environmental risk assessment, in addition
20 to this, the case-by-case-principle also dictates a case-by-case assessment of different possible
21 receiving environments because unique conditions of a particular ecosystem may affect the
22 safety of GMO entering different environments. The need of consideration of characteristics
23 of receiving regions may not be so evident for the safety assessment of many foods although
24 exposure assessment and nutritional assessments often need to consider local or regional
25 conditions.

26
27 In general, a better consideration of individual and local conditions may become more
28 important as increased knowledge from human genome research “has opened the door for an
29 improved analysis of effects of diets and other environmental factors on individuals”.
30 Technological advances make it feasible to envisage that in the future personalized drug
31 treatment and dietary advice and possibly tailored food products can be used for promoting
32 optimal health on an individual basis, in relation to genotype and lifestyle”(Desiere, 2004).
33 The risk of disease is often associated with genetic polymorphisms, but the effect is
34 dependent on dietary intake and nutritional status.

35
36 An integration of health and environmental assessment of modern methods of food production
37 might also be an important objective in the establishment of standards for traded food in
38 cooperation with the objectives of the CPB. Here “the use of international standards for
39 traded food, focusing on food safety, but in the future also most likely on environmental
40 issues, will have the potential to improve not only internationally traded food but also local
41 food, and thereby the health of local consumers” (FAO/WHO, 2004). The cooperation
42 between international agencies to focus development in these areas is exemplified by the
43 creation of the STDF (STDF, Standards in Trade and Development Facility) a joint effort
44 between WHO, FAO, World Trade Organization, World Animal Health Organization and
45 World Bank.

46
47 Clearly the analysis of effects resulting from interactions among food production,
48 environment and health requires information from specific assessments for food and
49 environmental safety. This requires that national governments encourage integration of work
50 among groups engaged in food safety and ecology. It demands specifically a cooperation of
51 international to enable exchange and analysis of generated data and experience. It also needs

1 capacity building in specific regions. Doubts regarding good cooperation or the possibility of
2 an even competitive behavior of national and international concepts and organizations have
3 been articulated recently (Giddings, 2004). But the realization of the common objectives and
4 the considerable challenges to overcome methodological difficulties to achieve a sustainable
5 development of food production which integrates appropriate ways of modern biotechnology
6 in the adequate areas might help to guarantee an engaged cooperation.

7
8

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